

2015

**Disclosure of Information for Professional Service Provider to Hollidaysburg Borough's
Municipal Pension System
Professional Service Provider: Select Financial Group**

Act 44 of 2009 requires annual disclosure of certain information by individuals or entities that hold contracts with and provide professional services to a municipal pension system. Please complete and return this disclosure to the department as soon as possible. If you feel you are not obligated to complete the disclosure, please provide written explanation. Responses should be directed to:

Mr. James Gehret
Borough Manager
Hollidaysburg Borough
401 Blair Street
Hollidaysburg, PA 16648

Section 1 - Definitions:

The following terms are defined within Section 701-A of Act 44 of 2009.

Affiliated Entity: Any of the following:

1. A subsidiary or holding company of a lobbying firm or other business entity owned in whole or in part by a lobbying firm;
2. An organization recognized by the Internal Revenue Service as a tax-exempt organization under section 501(c) of the Internal Revenue Code of 1986 (Public Law 99-514, 26 U.S.C. § 501(c)) established by a lobbyist or lobbying firm or an affiliated entity.

Contractor: A person or an affiliated entity that has a professional services contract with a municipal pension system

Contributions: As defined in section 1621 of the act of June 3, 1927 (P.L. 1333, No. 320), known as the Pennsylvania Election Code.

Executive Level

Employee:

An employee of a person or the person's affiliated entity who:

1. Can affect or influence the outcome of the person's or affiliated entity's actions, policies or decisions relating to pensions and the conduct of business with a municipality or a municipal pension system; or
2. Is directly involved in the implementation or development of policies relating to pensions, investments, contracts or procurement or to the conduct of business with a municipality or a municipal pension system.

Political

Committee:

As defined in section 1621 of the act of June 3, 1937 (P.L. 1333, No. 320), known as the Pennsylvania Election Code.

Professional

Services Contract: A contract to which the municipal pension system is a party that is:

1. For the purchase or provision of professional services, including investment services, legal services, real estate services and other consulting services; and
2. not subject to a requirement that the lowest bid is accepted.

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Section 2 - Municipal Officials:

The following individuals are identified as pension system officials and employees to be considered as you complete the disclosure.

Elected Officials

John Stultz - Mayor
Joseph Pompa - Borough Council President
Joe Dodson - Borough Council Vice-President
Stephanie Wertz - Council Member
Mark Shawley- Council Member
Richard Scholton – Council Member
Sean Burke – Council Member
Patrick Plummer – Council Member
Donna Carson - Tax Collector

Appointed Officials or Employees

James E. Gehret - Borough Manager/Finance Director / CAO, Non-Uniform Plan / CAO, Police Plan,
Police Pension Committee Member

Pension Committee(s)

Mark Lingafelt – Police Officer, Police Pension Committee Member
Thomas O’Leary (Retired Police Officer) / Police Pension Committee Member

Section 3 – Individuals Providing Professional Services and Affiliated Entities:

A. Please provide names and titles of all individuals who provide professional services to the municipal pension plan.

John P. Abriola – Owner, Investment Advisor

K. Jeffrey Hanna – Investment Advisor

Diana E. Abriola – Investment Advisor

Gregory T. Stansbury – CPA

Beverly A. Ross – Administrative Assistant

Cynthia A. Mohler – Administrative Assistant

B. Please identify any Affiliated Entity (name and title) of your company.

Select Financial Group, LLC

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Section 4 – Disclosure:

Regarding individuals who will provide services under the contract:

Yes No

Table with 4 columns: Question number, Question text, Yes, No. Contains 3 rows of questions regarding current/former officials, lobbyists, and contributions to municipal officials.

Regarding your firm and Affiliated Entities:

Yes No

Table with 4 columns: Question number, Question text, Yes, No. Contains 5 rows of questions regarding contributions, gifts, third-party intermediaries, and conflicts of interest.

6. For the contribution(s) disclosed in your answer to No. 1 above, provide the following information: (attach additional pages if necessary):

- Name and address of the contributor:
Contributor’s relationship to the Contractor:
Name and office or position of each person receiving a contribution:
Amount of the contribution:
Date of the contribution:

We understand that knowingly making a material misstatement or omission on this form may cause the municipal pension system to void our professional services contract.

Authorized Signature

Date